

PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number	10/623,712
Filing Date	July 21, 2003
First Named Inventor	Carlo Waldfried et al.
Art Unit	1762
Examiner Name	Marianne Padgett
Attorney Docket Number	AXC00031A/01-SM5-228CIP

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
MLP		US- 6,576,300	08/10/2003	Berry, III. et al.	
MLP		US- 6,558,755	05/06/2003	Berry, III. et al.	
		US-			
		US-			
MLP		US- 6,143,360	11/07/2000	Zhong	
		US- 6,184,260	02/06/2001	Zhong	
		US- 3,615,272	10/26/1971	Collins et al.	
		US- 4,808,653	02/28/1989	Haluska et al.	
		US- 4,999,397	03/12/1991	Weiss et al.	
		US- 5,008,320	04/16/1991	Haluska et al.	
		US- 5,010,159	04/23/1991	Bank et al.	
		US- 5,063,267	11/05/1991	Hanneman et al.	
		US- 5,210,160	05/11/1993	Salve et al.	
		US- 5,280,394	03/01/1994	Sasaki	
		US- 5,416,190	05/16/1995	Mine et al.	
		US- 6,231,989 B1	05/15/2001	Chung et al.	
		US- 6,232,424 B1	05/15/2001	Zhong et al.	
MLP		US- 6,313,045 B1	11/06/2001	Zhong et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁴
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 59-178749A	10/11/1984	Fujitsu Ltd.	no copies	
		JP 63-107122A	05/12/1988	Fujitsu Ltd.	in the	
		JP 60-086817A	05/15/1985	Fujitsu Ltd.	scanned file	
		JP 62-149308A	07/03/1987	Mitsubishi Paper Mills Ltd		

Examiner
Signature

/Marianne L. Padgett/

Date
Considered

02/07/2007

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Number-Kind Code ² (if known)			
MLP		US- 6,359,096 B1	03/19/2002	Zhong et al.	
		US- 5,618,878	04/08/1997	Syktich et al.	
		US- 5,547,703	08/20/1996	Camilletti et al.	
		US- 5,523,163	06/04/1996	Balance et al.	
		US- 5,262,201	11/16/1993	Chandra et al.	
		US- 5,116,637	05/26/1992	Baney et al.	
		US- 4,847,162	07/11/1989	Haluska et al.	
		US- 4,842,888	06/27/1989	Haluska et al.	
		US- 4,756,977	07/12/1988	Haluska et al.	
		US- 4,749,631	06/07/1988	Haluska et al.	
		US- 4,636,440	01/13/1987	Iada	
		US- 6,544,858 B1	04/08/2003	Beekman et al.	
		US- 5,853,808	12/29/1998	Ardes et al.	
		US- 6,303,192 B1	10/16/2001	Annapragada et al.	
		US- 6,284,050 B1	09/04/2001	Shi et al.	
		US- 2002/0136910 A1	09/26/2002	Hacker	
		US- 5,879,744	03/09/1999	Cao et al.	
		US- 5,234,556	08/10/1993	Oishi et al.	
MLP		US- 5,935,646	08/10/1999	Raman et al.	

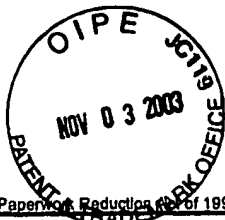
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete If Known

Applicati n Number	10/623,712
Filing Date	July 21, 2003
First Named Inventor	Carlo Waldfried et al.
Art Unit	1762
Examiner Name	Marianne Padgett
Attorney Docket Number	AXC0003IA/01-SM5-228CIP1

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		GLASER, P.M. and PANTANO, C.G., "EFFECT OF THE H2O/TEOS RATIO UPON THE PREPARATION AND NITRIDATION OF SILICA SOL/GEL FILMS" Elsevier Science Publishers B.V., Journal of Non-Crystalline Solids 63 (1994), pgs. 209-221	
	no	copy in scanned file	

Examiner Signature	/Marianne L. Padgett/	Date Considered	02/07/2007
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Filing Date	July 21, 2003
First Named Inventor	Carlo Waldfried
Art Unit	1762
Examiner Name	Marianne Padgett
Attorney Docket Number	AXC 0003 IA/40970.19

U. S. PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			
MLP		EP 1 457 583 A	9-15-2004	Air Products and Chemicals, Inc.	
MLP		WO 03/025994 A	3-27-2003	Axcelis Technologies, Inc.	
MLP		EP 1 122 333 A	8-8-2001	Motorola, Inc.	

**Examiner
Signature**

/Marianne L. Padgett/

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